A Four-Dimensional Objective Analysis Scheme and Multitriangle Technique for Wind Profiler Data

PHILLIP L. SPENCER
NOAA/National Severe Storms Laboratory, Norman, Oklahoma

PAUL R. JANISH
NOAA/National Centers for Environmental Prediction, Storm Prediction Center, Norman, Oklahoma

CHARLES A. DOSWELL III
NOAA/National Severe Storms Laboratory, Norman, Oklahoma

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ABSTRACT

A 4D Barnes objective analysis scheme for wind profiler data is developed in order to improve upon previously developed 2D analysis schemes. A significant shortcoming of the 2D schemes is their sensitivity to gaps in the profiler time–height series; they may produce unrealistic gradient information if large data-void regions are present. The 4D analysis scheme described herein, however, provides an effective means for dealing with data voids within profiler time–height series. The 4D analysis scheme differs from the 2D techniques in that data from neighboring profiler stations affect the time–height wind analysis at each site. This allows the analysis scheme to produce smooth, spatially and temporally consistent time–height wind analyses for each station of the Wind Profiler Network (WPN), even if large data gaps are present.

Gridded time–height wind fields at each profiler site resulting from the 4D analysis scheme are provided as input to a line integral-equivalent technique that is applied over many WPN triangles for the purpose of diagnosing the kinematic and thermodynamic structure of subsynoptic-scale weather systems. Time–height series of triangle-derived variables have been proven elsewhere to be an effective method for diagnosing subsynoptic-scale temporal structure of weather systems; mapping the irregularly spaced triangle information onto a regular, quasihorizontal grid provides a complementary perspective of their spatial structure and evolution.

1. Introduction

Several observational platforms have become available to weather forecasters and researchers in recent years, allowing for better diagnoses of mesoscale and stormscale processes. For example, Doppler radar, atmospheric sounders aboard Geostationary Operational Environmental Satellites, and surface mesonetwork data have all contributed to our improved diagnostic capabilities (Brown and Wood 1991; Menzel and Purdom 1994; Barnes 1978). Similar opportunities are available via the high temporal resolution data provided by the Wind Profiler Network (WPN), which makes it possible to observe changes in subsynoptic-scale atmospheric structure throughout the troposphere (Carr et al. 1995; hereafter CSDP95). Although wind profilers do not provide temperature and moisture information, hourly wind observations from the profiler network provide a temporal resolution of weather systems that is 12 times higher than that provided by the twice-daily rawinsonde observations.

The potential of wind profiler data for diagnosing the structure and evolution of subsynoptic-scale weather systems far exceeds simple examination of time–height series of raw observations at individual stations. As Doswell and Caracena (1988) point out, information about derivatives of the wind field (e.g., divergence) is at least as important as information concerning the wind field itself. Therefore, techniques for estimating wind derivatives from profiler data have been developed for the purpose of studying kinematic and thermodynamic structure of mesoscale convective systems (Yoe et al. 1992), snowstorms (Carlson and Forbes 1989), sharp troughs in the baroclinic westerlies (Bluestein and Speheger 1995), amplifying and decaying baroclinic waves (Spencer et al. 1996; hereafter SCD96), upper-tropospheric fronts and jet streams (Neiman and Shapiro
equivalent technique that, when applied over many profiler triangles, provides a coherent picture of the evolution as well as the horizontal and vertical distribution of kinematic and thermodynamic variables within weather systems. This multitriangle technique provides a practical application of calculating derivatives directly from the irregularly distributed observing network, as suggested by Schaefer and Doswell (1979) and Doswell and Caracena (1988), rather than the traditional approach of using finite differences applied to a regular grid of the velocity components. These studies suggest that more accurate results are obtained when the first-order wind derivatives are calculated directly from the data.

In the following section, we review the technique used by CSDP95 and SCD96 for deriving time–height series of kinematic and thermodynamic variables from a triangle of profilers, including the 2D objective analysis scheme used to produce filtered, gridded time–height wind fields at profiler sites. In addition, we discuss the limitations of the 2D technique. Section 3 introduces a 4D objective analysis scheme for wind profiler data and provides tests of the scheme using both observations and an analytic wind field. Also included is a description of how triangle centroid information from many triangles is used to produce time series of quasi-horizontal displays. Section 4 contains a brief case study illustration of how wind profiler data are used to diagnose synoptic-scale processes associated with a winter weather event. Finally, section 5 provides a brief summary and concluding remarks.

2. Two-dimensional technique: A review

a. Quality control and objective analysis

The hourly time–height series of profiler winds are initially subjected to median and vertical shear checks similar to those developed by Brewster and Schlatter (1986) for the purpose of eliminating erroneous data. The median check searches for outliers in the data by comparing the wind components of each datum to those of a set of neighbors within the time–height section. The vertical shear check eliminates outliers by finding those data within a profile that are responsible for excessive vertical speed and/or directional shear. A complete description of the quality control scheme is found in CSDP95. In addition, hand-editing of erroneous well-correlated data (which normally pass quality control checks) is sometimes necessary.

Following CSDP95, the quality controlled time–height series of observations then are used to produce a regular time–height grid (200 m × 1 h) of the horizontal winds at each of three profiler sites. Each time–height analysis is obtained by using one pass of a distance-dependent weighted averaging objective analysis scheme in which a datum’s influence upon a gridpoint value \((g_i)\) is related to the vertical and temporal sepa-

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1 We note, however, that there is no substitute for adequate data.
rivation \( (R_z \text{ and } R_t, \text{ respectively}) \) of the datum \( (f_k) \) and the grid point. Specifically, each gridpoint value is determined by

\[
g_i = \frac{\sum_{k=1}^{n} w_{ik} f_k}{\sum_{k=1}^{n} w_{ik}},
\]

where the weights \( (w_{ik}) \) are determined by

\[
w_{ik} = \exp \left( -\frac{R_z^2}{\lambda^2} - \frac{R_t^2}{\tau^2} \right).
\]

The parameters \( \lambda \) and \( \tau \) are smoothing parameters that determine how smooth the analysis will be; that is, values for \( \lambda \) and \( \tau \) control the response characteristics of the analysis scheme. The smoothing parameters often are defined as multiples of the average data separation (Koch et al. 1983). Let \( \lambda = c_1 \Delta z \) and \( \tau = c_2 \Delta t \), where \( \Delta z \) is the average vertical data spacing (typically 250 m) and \( \Delta t \) the average temporal data spacing (typically 1 h). For the analyses presented by CSDP95 and SCD96, \( c_1 = c_2 = 1.35 \) so that the response at the Nyquist wavelength and period was nearly zero, whereas the response at three times the Nyquist interval was \( e^{-1} \).

Factors influencing the subjectively chosen smoothing parameters should include the accuracy and representativeness of the data, data distribution characteristics (Doswell and Lasher-Trapp 1997), and the desired scales to resolve (Barnes 1973). We emphasize that for this 2D analysis scheme, data from neighboring profiler sites have no influence upon a station’s analysis. For example, data from only the Vici, Oklahoma, profiler influences the Vici time–height analysis; data from the Lamont, Oklahoma, profiler (or any other station) have no impact upon the Vici analysis.

**b. Derivation of kinematic quantities**

Kinematic and thermodynamic fields can be derived directly from a small array (such as a triangle) of wind observation sites using, for example, the LVPF method (Zamora et al. 1987). Davies-Jones (1993) has shown that the LVPF method is essentially identical to any integral method that assumes linearity between sample points. Basically, the LVPF method decomposes the horizontal wind field (in our case, height-coordinate profiler winds interpolated onto pressure surfaces using a standard atmospheric sounding) into its linear components via a Taylor series expansion. Knowledge of the location of three noncolinear wind profiler sites closes a system composed of six equations and six unknowns, which is easily solved to yield the kinematic quantities. This technique provides time–height series estimates of vorticity and divergence, for example, that are assumed valid at triangle centroids.

The LVPF results are used to derive vertical motion estimates via the kinematic method in the manner described by SCD96 wherein “a linear adjustment procedure, which assumes that errors in the divergence are independent of pressure and that the vertical velocity is zero at both the top and bottom of the domain, is applied.” We then calculate an “adjusted divergence” field, which is the divergence field that, when integrated, yields the “adjusted omega” field. All subsequent discussions involving divergence refer to the adjusted divergence field.

**c. Limitations**

We will now describe the limitations of the 2D technique that provided impetus for the development of the 4D technique. Consider the upstream-tilted baroclinic wave shown in Fig. 1. The raw time–height series data from each of the three stations contain only small data voids (not shown). To illustrate the impact that large areas of missing data have on the vorticity field derived via the 2D technique, five profiles (2000 UTC 7 October–0000 UTC 8 October) are removed from each of the three stations’ time–height series of raw observations (Fig. 2). Rather than producing a gradual transition from lower to higher vorticity values from, say, 1800 UTC 7 October to 0100 UTC 8 October (as in Fig. 1),

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2 This case has been studied by Spencer et al. (1996).
the 2D analysis scheme concentrates the gradient information inside the data void, an undesirable result but one that is, nevertheless, consistent with the findings of Barnes (1994). Given the occasional occurrence of large vertical and temporal gaps in raw profiler time–height data, unrealistic gradient information can be expected from the 2D technique. Increasing the objective analysis shape parameters may relax the spurious gradients, but necessarily at the expense of a reduced capability for resolving details elsewhere in the time–height series.

Another limitation of the 2D technique involves its inability to provide a coherent picture of the horizontal structure of weather systems. The 2D technique was developed to provide information concerning kinematic and thermodynamic structure of weather systems as they pass through specific locations (e.g., triangle centroids). It does not combine centroid information derived from many triangles.

3. Four-dimensional technique

a. Description of the objective analysis scheme and tests with real data

The 4D objective analysis scheme is similar to the 2D scheme in that it uses distance-dependent weighted averaging, but the difference is the dimensionality of the weighting function. The weights for the 4D analysis scheme are determined by

\[ w_{ik} = \exp \left( -\frac{R_i^2}{\lambda^2} - \frac{R_k^2}{\tau^2} - \frac{R_x^2}{\beta^2} \right), \]  

(3)

where the last term of the exponential allows data from neighboring profilers to be incorporated. Here, \( R_{\alpha} \) represents the horizontal distance between a datum and grid point and \( \beta \) is a standard, Barnes-type smoothing parameter. We define \( \beta \) as a multiple of the average station separation (\( \Delta n \); approximately 270 km) such that \( \beta = \frac{c_3}{\Delta n} \). The constant \( c_3 \) is user-selectable and should be chosen according to the factors mentioned in section 2a. We note that as \( \beta \) approaches zero, the results of the 4D analysis scheme approach those of the 2D scheme.

Again consider the 7–8 October 1992 case in which the 2000 UTC 7 October–0000 UTC 8 October profiles are eliminated from the Haviland, Neodesha, and Purcell raw time–height series data. Shown in Fig. 3 are relative vorticity time–height fields derived using the 4D analysis scheme and the LVPF method. For each field, \( c_1 = c_2 = 1.35 \) (as in the examples presented in section 2c). The difference in the fields is determined by the value of \( c_4 \) used in the 4D objective analysis scheme. The values of \( c_4 \) for Figs. 3a–d are 0.01, 0.50, 0.75, and 1.0, respectively. With the parameter \( c_4 \) at our disposal, the degree of impact that data from neighboring sites have on each station’s analysis can be controlled. As \( c_4 \) is increased, the impact becomes greater. As expected, a very small value of \( c_4 \) (e.g., 0.01; Fig. 3a) generates an analysis very similar to that produced by the 2D technique (Fig. 2) in which spurious gradient information is created within the data void.

Figure 3b shows that when the value of \( c_4 \) is increased only modestly (yet is still exhibiting a relatively small value), the spurious gradient that was characteristic of the 2D technique is dramatically reduced. As \( c_4 \) is increased further (Figs. 3c,d), continued reduction of the gradient is accomplished as well as a dampening of the vorticity maxima, as expected. Therefore, by using a 4D analysis scheme that incorporates data from neighboring profilers, the negative impact of missing data on the wind analyses can be reduced.

The response function \( (D) \) corresponding to (3) is

\[ D = \exp \left[ -\lambda^2 \left( \frac{\pi}{L_x} \right)^2 - \tau^2 \left( \frac{\pi}{L_t} \right)^2 - \beta^2 \left( \frac{\pi}{L_{xy}} \right)^2 \right], \]  

(4)

where

\( L_x = \text{vertical wavelength}, \)
\( L_t = \text{period}, \)
\( L_{xy} = \text{horizontal wavelength}. \)

For the case study example presented in section 4, the analysis parameters have been chosen to be \( c_1 = c_2 = c_4 = 1.15 \), so that amplitudes of waves smaller than those that might be considered “marginally sampled” (Doswell and Caracena 1988) are damped considerably. The response for the Nyquist interval in any particular dimension is \( \ll 10\% \) (Fig. 4). In addition, vertical, temporal, and horizontal cutoff radii of \( 4\lambda, 4\tau, \) and \( 4\beta \), respectively, have been used. These are well within the guidelines suggested by Pauley and Wu (1990).
b. Objective analysis tests using an analytic wind field

Consider an analytic horizontal wind field defined by the following:

\[ u(x, y) = -A \cos \left( \frac{2\pi}{L}(x - ct) \right) \sin \left( \frac{2\pi}{L}y \right) \]
\[ v(x, y) = A \sin \left( \frac{2\pi}{L}(x - ct) \right) \cos \left( \frac{2\pi}{L}y \right), \]

where \( A \) is the amplitude, \( L \) is the wavelength, \( c \) is the wave speed, and \( t \) is time. Equation (5) represents eastward traveling waves of alternating cyclonic and anticyclonic flow patterns (Fig. 5). The 36-h time series of the \( u \) component of the analytic wind field at station LMNO is shown as the thick curve in Fig. 6. To simulate missing data, five consecutive hours of data are removed from the LMNO time series (denoted by the asterisks along the abscissa in Fig. 6). Two Barnes analysis schemes are then used to produce a complete, hourly time series at LMNO. The first scheme uses a weight function in which data from neighboring stations are not included (NONEIGHBOR); specifically,
Fig. 4. Depiction of the 2D plane (Fig. 4a) along which the response function corresponding to the 4D weighting function is plotted (Fig. 4b). The shape coefficients used to produce Fig. 4b are $c_1 = c_2 = 1.15$. The axes in Figs. 4a,b represent multiples of the Nyquist interval.

$$w_{id} = \exp\left(-\frac{R^2_{id}}{\tau^2}\right),$$

where the parameters are as defined as in section 2a and $c_2 = 1.15$. This scheme is analogous to that described in section 2a, except that vertical variability is not considered. The second analysis scheme uses a weight function in which data from neighboring stations are included (NEIGHBOR); specifically,

$$w_{id} = \exp\left(-\frac{R^2_{id}}{\tau^2} - \frac{R^2_{xy}}{\beta^2}\right),$$

Fig. 5. Depiction of the analytic wind field defined by Eq. (5) for values of $A = 40$ m s$^{-1}$, $L = 3 \times 10^6$ m, $c = 20$ m s$^{-1}$, and $t = 1$ h. Profiler locations are indicated by the four-letter identifiers.

Fig. 6. Time series of the $u$ component of velocity (m s$^{-1}$) corresponding to (5) for station LMNO (thick, solid curve). Analysis errors (analysis minus analytic values) resulting when five consecutive hours are removed from the time series (indicated by the asterisks) for the NONEIGHBOR and NEIGHBOR analyses are shown as the thin solid and dashed curves, respectively. See text for details.
where the parameters are as defined as in section 3a and $c_2 = c_3 = 1.15$. This scheme is analogous to that described in section 3a, except that vertical variability again is not considered. The analysis errors (analysis minus analytic values) for the NONEIGHBOR and NEIGHBOR schemes are shown as the thin solid and dashed curves, respectively, in Fig. 6.

Figure 6 clearly illustrates that the NEIGHBOR analysis scheme is the superior of the two schemes within the data void region. Mean absolute errors from this scheme average 1.2 m s$^{-1}$ within the data void, whereas errors from the NONEIGHBOR analysis average 7.1 m s$^{-1}$ for the same 5-h period. In the data-rich area of the extrema, the NEIGHBOR analysis damps the amplitude by approximately 12%, whereas the NONEIGHBOR analysis provides a close fit to the observations. Therefore, incorporating data from neighboring stations into the analysis scheme provides an effective mechanism for hole-filling at the expense of a slight reduction in wave amplitude. This damping effect of the NEIGHBOR analysis can be mitigated by choosing a smaller smoothing parameter $b$. For example, when $b = 0.75\Delta n$ (not shown), the errors in the NEIGHBOR analysis are reduced by about 50% over those depicted in Fig. 6. We again emphasize that for practical applications, the accuracy and representativeness of the data, data distribution characteristics, and the desired scales to resolve should guide the choice of shape parameter values.

c. Multitriangle analysis

The technique for deriving time–height series of kinematic variables from wind analysis produced via the 4D analysis scheme is identical to that described in section 2b. However, given that the 4D analysis scheme produces gridded time–height winds at each profiler site whether or not it has substantial data voids, the LVPF method can be used for a large number of triangles.

Triangles are defined from the 29-station central United States Wind Profiler Network by the Delauney triangulation method described by Ripley (1981). One undesirable characteristic of the Delauney triangulation is that it creates a convex hull for the station set, so that excessively obtuse triangles often are produced along the boundaries. In this application, such triangles simply are eliminated from consideration. Normally, one or more stations has a totally or partially incomplete time–height series of raw observations. Those stations with absolutely no data are completely ignored and the triangulation is performed without them (Fig. 7).

Centroid estimates of kinematic variables not only provide a useful means of diagnosing atmospheric structure via time–height sections, but when mapped onto a uniform horizontal display grid, they provide information about the horizontal structure and evolution of weather systems that move through the WPN. This mapping is accomplished by applying the weight function

$$ w_{ik} = \exp \left( -\frac{R_{xy}^2}{\alpha^2} \right), $$

where the shape parameter $\alpha = 0.75\Delta n$ and $\Delta n$, $R_{xy}$, are as before. The response function corresponding to (8) is shown in Fig. 8 and indicates that 25% of the amplitude of the $2\Delta n$ wave (Nyquist wavelength) is retained by this analysis scheme. Since the desired spectral
filtering of the profiler winds already has been accomplished via (3), the choice of a light smoothing for this analysis is justified. The only purpose of this analysis is to map the irregularly distributed triangle centroid estimates of kinematic variables onto a uniform display grid. However, this analysis does smooth the noise created by the “roughing” effect (increasing amplitude at high wavenumber) inherent in derivative calculations.

The thermal structure of baroclinic systems is investigated by estimating the horizontal temperature gradient and thermal advection using the single-station technique described by Neiman and Shapiro (1989), in which the geostrophic thermal wind equation is applied to the station wind analyses. After time–height sections of thermal gradient and advection estimates are produced at each station, they are mapped onto a uniform horizontal grid via (8) to yield plan-view displays. Further details of the single-station technique including its limitations are found in Neiman and Shapiro (1989).


A strong winter storm moved across the southern plains during 14–16 February 1993, producing a large band of snow accumulations greater than 20 cm across southern Kansas and northern Oklahoma into much of Missouri and northern Arkansas. Local snowfall amounts were as high as 75 cm near the northern Arkansas border. North of the heavy snowband, a large area of light snow fell, while heavy rain fell south of the band.

Profiler analyses indicate increasing low-level warm thermal advection over west-central Oklahoma by 2000 UTC 14 February (Fig. 9) in advance of a developing surface low. Precipitation developed in this area shortly after 2200 UTC and gradually expanded in coverage and intensity as deep upward motion ensued (not shown) and low-level warm thermal advection increased. Precipitation began to diminish in coverage over western Oklahoma by 2200 UTC 15 February as both rising motion and low-level warm thermal advection rapidly decreased.
Precipitation spread into Arkansas and southern Missouri after 0400 UTC 15 February as deep upward motion increased across the area (Fig. 10a). The associated divergence field, showing increasing low-level convergence and upper-level divergence, is presented in Fig. 10b. Precipitation remained light until around 1500 UTC 15 February, when periods of heavy snow and sleet were reported across northern Arkansas and southern Missouri in association with midtropospheric upward motions exceeding 10 μb s⁻¹ (Fig. 10a). A pronounced decrease in precipitation coverage and intensity was observed after 0300 UTC 16 February, coincident with the onset of lower-tropospheric subsidence.

Although time–height displays of various fields allow forecasters to diagnose meso-α-scale weather patterns over profiler triangles, profiler-derived constant pressure analyses allow forecasters to track these features as well as examine their spatial distribution, both of which offer considerable advantages for real-time applications. In this case, an entrance region of the upper-level jet is evident over the mid-Mississippi Valley at 2100 UTC 15 February, with upper-level divergence values across western Arkansas exceeding 4 × 10⁻³ s⁻¹ (Figs. 11a,b). The nose of a low-level wind maximum extends into Arkansas (Fig. 11c), where strong low-level convergence (Fig. 11d), warm thermal advection (Fig. 11e), and deep upward motion (Figs. 10a, 11f) exist. Satellite imagery and surface precipitation observations compare favorably with the profiler-derived vertical motion estimates (Fig. 12). The higher cloud tops and heaviest precipitation accumulation occur across portions of eastern Oklahoma, Arkansas, and Missouri, the region of most intense midtropospheric upward motion (Fig. 11f).

By 0300 UTC 16 February, upper-level divergence...
Fig. 12. (a) GOES-7 infrared imagery for 2100 UTC 15 Feb 1993. The image has been enhanced to highlight the colder cloud tops across Arkansas and Missouri and (b) accumulated precipitation (mm) for the 3-h period ending 2100 UTC 15 Feb 1993. Precipitation data are from the hourly precipitation database.
remained across the southern plains and lower Mississippi Valley, but it had weakened considerably (less than one-half its earlier magnitude) as the entrance region of the jet moved eastward (Figs. 13a,b). Low-level winds became weakly divergent over much of the area (Figs. 13c,d). Although relatively weak midlevel upward motion persisted (Fig. 13f), the precipitation had mostly ended since the low-level flow was from the northwest, which brought in cooler (Fig. 13e), drier air.

5. Summary and concluding remarks

Previous studies have used 2D objective wind analysis procedures whereby data from each profiler station was analyzed independently of data from nearby stations. In this study, we have proposed a 4D objective analysis scheme, such that each station’s time–height wind analysis not only incorporates data from its own time series of observations, but neighboring stations’ data as well. A primary advantage of the 4D analysis scheme lies in its ability to reduce the negative impacts of significant data voids. Specifically, it has been shown that the unrealistic high-gradient regions within data voids that result from the 2D technique may be eliminated when neighboring data are incorporated into the analysis scheme. Also, the degree of impact that neighboring data have on station analyses is easily controlled by the appropriate smoothing parameter. The 2D technique for diagnosing kinematic and thermodynamic structure over profiler triangles has been shown previously to be suitable, however, if no large data gaps exist for those stations involved in the analysis (CSDP95; SCD96).

As a consequence of dealing effectively with large data voids by reducing the negative impacts of missing data, the 4D analysis scheme is able to produce spatially and temporally consistent time–height wind analyses for each station of the WPN. Line integral-equivalent methods then may be used to produce time–height series of kinematic fields over many profiler triangles. Alone, these triangle analyses provide information analogous to that provided by the 2D technique. However, by com-
bining information derived from dozens of profiler triangles, hourly analyses detailing the spatial distribution of various parameters are possible. These profiler analyses that provide details concerning the horizontal distribution of kinematic and thermodynamic variables (in addition to the vertical and temporal distribution) provide a more coherent 4D perspective of weather systems than is derived by the 2D technique. Unfortunately, the limited areal coverage of the WPN precludes the study of weather systems beyond the central United States (such as East Coast cyclogenesis) using multiprofiler techniques such as those described herein. However, across the central United States, such analyses applied to the high temporal resolution profiler data might allow forecasters to improve short-term forecasts not only through profiler diagnostics, but also through improved numerical forecasts when the data are incorporated into a data assimilation system (Smith and Benjamin 1993).

We have chosen to use an objective analysis scheme rather than a data assimilation procedure to generate gridded wind fields for three primary reasons. First, data assimilation techniques typically force some sort of dynamic balance constraint between the wind and mass fields, such as geostrophy. This type of balance may be suitable for large-scale flow patterns, but may be in serious error for the scales of motion resolved by the hourly profiler network. Thus, as Parsons and Dudhia (1997) state, “assimilated fields are directly dependent upon model characteristics and parameterizations.” Objective analysis schemes demand no such balance. Data assimilation techniques provide obvious advantages for model initialization, but they are not required—or even necessarily appropriate—for diagnostic analyses such as those presented herein. Second, the response characteristics of the Barnes objective analysis scheme are well known and easily computable, whereas the same cannot be said for data assimilation procedures. Finally, the simplicity of objective analysis schemes for gridding data makes them particularly amenable for diagnostic studies. Parsons and Dudhia (1997) provide a more thorough discussion of the relative merits of objective analysis and data assimilation methods.

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